

Pre- Application Review

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Fiduciary, Corporate,
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EWG understand that our clients manage complex structure accounts. We recognise that you may have questions to ask our compliance team in context of the structure, entities and individuals within your application form.

With this in mind, we have created an optional ‘Pre-Application Review’ feature to enable you to submit your application form for review by our compliance team prior to your final submission.

This time saving feature allows you to receive direct feedback on your application content and provides an opportunity to make any required changes in advance of submitting your final application.



/ Pre-Application Review (PAR)

When you create a new account, you will notice a blue ‘Pre-Application Review’ button on the menu bar at the bottom of the screen.

New Account Application Form

ACCOUNT INFO OWNERSHIP ACCOUNT TRANSACTIONAL PROFILE REVIEW

ACCOUNT INFO PAGE 1 OF 7 COMPLETED

[The New Account User manual can be downloaded here](#) to assist you in completing this form. INFO

ACCOUNT TYPE 1 OF 1 COMPLETED

New Designated/Additional INFO

New accounts require a full set of onboarding documentation to be provided, and are subject to a broader range of compliance checks.

Choose **Designated/Additional** to create an account which is linked to a pre-existing account. A designated account shares its onboarding documentation with that pre existing account, thus expediting the application process.

[Pre-Application Review](#) [Save and Close](#) [Previous](#) [Next](#)

You may optionally elect to submit your application to our compliance team for a ‘Pre-application Review’ at any stage of your application form journey by clicking on the blue button at the review stage of the application form.

/ Pre-Application Review - Request Notes

To give our compliance team context as to why you have chosen to request a PAR for this application use the request notes box to outline any specific points for review.

Pre-Application Review

By using the Pre-Application Review feature of the EWG App, you confirm that you have the consent of the data subjects whose data has been entered into the application form, to provide the information to EWG.

You are advised that EWG shall review the information so that it may provide guidance on the completeness of the draft application you have prepared, and that the use of the service in no way predicated the outcome of any subsequent submission of the form and the compliance checks and other reviews that EWG undertake to determine the suitability and approval of an account.

Any response that EWG provides in relation to the draft application, does not constitute professional advice, guidance, legal opinion.

Disclaimer for the Pre-Application Review Feature

By utilising the Pre-Application Review feature of the EWG App, you confirm that you have obtained the necessary and appropriate consent from the data subjects whose personal data has been entered into the application form, authorising the provision of such information to EWG.

Please be advised that EWG will review the submitted information solely to provide preliminary feedback on the completeness of the draft application you have prepared. This service is intended to assist in identifying potential omissions or formatting issues in your draft. However, the use of this feature does not influence, guarantee, or predetermine the outcome of any formal application submission. All applications remain subject to EWG's full compliance checks, suitability assessments, and additional reviews necessary for account approval.

Any feedback or responses provided by EWG as part of this pre-application review are for informational purposes only. They do not constitute professional advice, legal guidance, or any form of warranty regarding the approval or future success of the application. EWG expressly disclaims any liability for reliance on the information provided through this feature.

REQUEST NOTES

Pre-application review notes go here

37/500

By proceeding, you acknowledge and accept these terms.

Cancel Request Pre-Application Review

Handy Tip!

The more content and context you provide for the pre-application review, the better informed our compliance team will be in relation to your query and the shorter the response time.

Attest to the terms and conditions and click the blue 'Request Pre-application Review' button to submit the application for PAR.

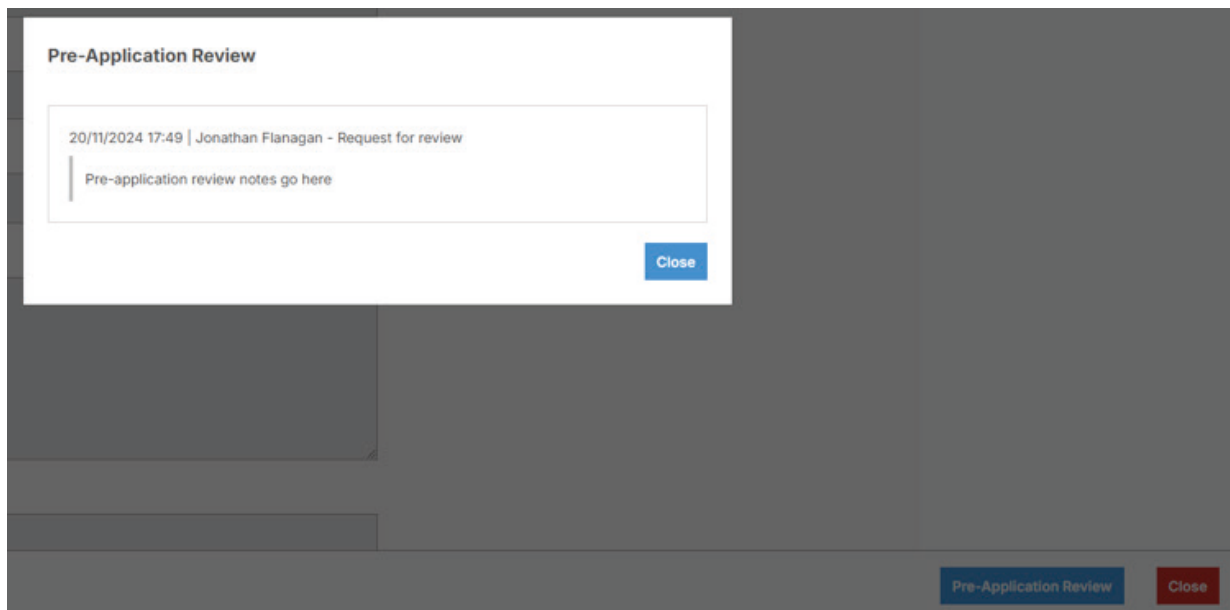
EWG shall review the information so that it may provide guidance on the completeness of the draft application you have prepared, and that the use of the service in no way predicated the outcome of any subsequent submission.

/ Pre-Application Review - Submitted Stage

Once you have submitted your application for review, the status of the application goes to **'In Review'** stage. At this stage you may **'view'** your application at any time but cannot edit the content.

ACCOUNT NAME	CREATED AT	STATUS	VIEW/EDIT
DIP Example Account	20 Nov, 2024 5:49 PM	In Review	View

By clicking on the **'Pre-application Review'** button you will be directly navigated to the PAR notes section and can view your request notes.



/ Pre-Application Review – Draft (with responses) Stage

Once our compliance team have reviewed the application for completeness, they will provide a response with feedback and guidance where applicable.

The status of the application goes to **'Draft (with responses)'** stage.

ACCOUNT NAME	CREATED AT	STATUS	VIEW/EDIT
DIP Example Account	20 Nov, 2024 5:49 PM	Draft (with responses)	View Edit

The response is returned as follows:

1. Directly on the application form within the PAR notes section
2. A confirmation email sent to the submitter once the review has been completed

At this stage you may 'view' or 'edit' your application.

By clicking on the 'Pre-application Review' button you will be directly navigated to the PAR notes section. As you can see it now contains a response from the compliance team.

21/11/2024 12:42 - Response from the compliance team

Thank you for your request.
This is an example of a response from the compliance team.
We suggested you:

- Provide XYZ information in this area
- Provide ABC information in this area
- Read the information on this [user guide](#)

20/11/2024 17:49 | Jonathan Flanagan – Request for review

Pre-application review notes go here

REQUEST NOTES

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By proceeding, you acknowledge and accept these terms.

Cancel [Request Pre-Application Review](#)

Subject to completeness and complexity, you can continue to correspond with our compliance team by submitting another pre-application review request.

/ Pre-Application Review - Submit

Once you are satisfied with the PAR review and content of your application you may proceed to submit the application form as per our normal process.

ACCOUNT NAME	CREATED AT	STATUS	VIEW/EDIT
DIP Example Account	20 Nov, 2024 5:49 PM	Submitted	View

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